

1 ROBBINS GELLER RUDMAN  
& DOWD LLP  
2 ELLEN GUSIKOFF STEWART (144892)  
JAMES I. JACONETTE (179565)  
3 655 West Broadway, Suite 1900  
San Diego, CA 92101-8498  
4 Telephone: 619/231-1058  
619/231-7423 (fax)  
5 - and -  
SHAWN A. WILLIAMS (213113)  
6 Post Montgomery Center  
One Montgomery Street, Suite 1800  
7 San Francisco, CA 94104  
Telephone: 415/288-4545  
8 415/288-4534 (fax)  
9 Attorneys for Plaintiff

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Clerk of Court  
Superior Court of CA,  
County of Santa Clara  
2015-1-CV-287794  
Reviewed By: R. Walker

11 SUPERIOR COURT OF THE STATE OF CALIFORNIA  
12 COUNTY OF SANTA CLARA

13 CITY OF WARREN POLICE AND FIRE )  
RETIREMENT SYSTEM, Individually and on )  
14 Behalf of All Others Similarly Situated, )  
15 Plaintiff, )  
16 vs. )  
17 REVANCE THERAPEUTICS, INC., et al., )  
18 Defendants. )

Case No. 1-15-CV-287794  
CLASS ACTION  
DECLARATION OF FRED RODRIGUEZ  
REGARDING (A) MAILING OF THE  
NOTICE OF PROPOSED SETTLEMENT OF  
CLASS ACTION AND THE PROOF OF  
CLAIM AND RELEASE FORM, (B)  
PUBLICATION OF THE SUMMARY  
NOTICE, (C) INTERNET POSTING, AND (D)  
REQUESTS FOR EXCLUSION RECEIVED  
TO DATE

Judge: Hon. Brian C. Walsh  
Dept: 1  
Date Action Filed: 05/01/15

Hearing Date: May 19, 2017  
Hearing Time: 9:00 a.m.

1 I, FRED RODRIGUEZ, declare:

2 1. I am employed as a Senior Project Manager by Gilardi & Co. LLC (“Gilardi”), located at  
3 3301 Kerner Blvd., San Rafael, California. Pursuant to its Order Preliminarily Approving Settlement  
4 and Providing for Notice (the “Order”), the Court appointed Gilardi as the Claims Administrator in  
5 connection with the settlement of the above-captioned action (the “Litigation”).

6 2. I submit this declaration in order to provide the Court and the parties to the Litigation  
7 with information regarding: (i) mailing of the Notice of Proposed Settlement of Class Action (the  
8 “Notice”) and the Proof of Claim and Release form (the “Proof of Claim”), (ii) posting of those  
9 documents and others on the website dedicated to the Litigation, (iii) publication of the Summary  
10 Notice, and (iv) requests for exclusion from the Class received to date.

11 3. I am over 21 years of age and am not a party to this Litigation. I have personal  
12 knowledge of the facts set forth herein and, if called as a witness, could and would testify competently  
13 thereto.

14 **MAILING OF THE NOTICE AND PROOF OF CLAIM**

15 4. In accordance with the Order, Gilardi is responsible for disseminating the Notice and  
16 Proof of Claim (collectively, the “Claim Package”) to potential Class Members in the forms approved  
17 by the Court. True and correct copies of the Notice and Proof of Claim are attached hereto as Exhibits  
18 A and B, respectively.

19 5. Gilardi obtained from the transfer agent for Revance Therapeutics, Inc. (“Revance”) a  
20 list of persons who purchased or otherwise acquired Revance common stock during the period  
21 beginning on June 19, 2014 and ending on May 1, 2015, and pursuant and/or traceable to the  
22 Registration Statement and Prospectus for Revance’s June 19, 2014 Public Offering. The list was  
23 reviewed to identify and eliminate duplicate entries and incomplete data, resulting in a usable mailing  
24 list of five unique names and addresses. Gilardi had the unique name and address data printed on to  
25 Claim Packages, posted the Claim Packages for First-Class Mail prepaid, and delivered five Claim  
26 Packages on February 3, 2017, to the United States Post Office located in Santa Rosa, California.

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1           6.       In addition, on February 3, 2017, as part of its normal mailing procedures, Gilardi mailed  
2 Claim Packages and cover letters to 263 brokerages, custodial banks, and other institutions (“Nominal  
3 Holders”) that hold securities in “street name” as nominees for the benefit of their customers who are  
4 the beneficial owners of the securities. The Nominal Holders also include a group of filers/institutions  
5 who have requested notification of every securities case. These Nominal Holders are included in a  
6 proprietary database created and maintained by Gilardi. In Gilardi’s experience, the Nominal Holders  
7 included in this proprietary database represent a significant majority of the beneficial holders of  
8 securities. The cover letter accompanying the Claim Packages advised the Nominal Holders of the  
9 proposed settlement and requested their cooperation in forwarding the Claim Packages to potential  
10 Class Members. In the over 25 years that Gilardi has provided notice and claims administration  
11 services in securities class actions, Gilardi has found that the majority of potential class members hold  
12 their securities in street name and are notified through the Nominal Holders. A copy of the cover letter  
13 dated February 3, 2017, sent to Nominal Holders in this case, is attached hereto as Exhibit C.

14           7.       On February 3, 2017, Gilardi also mailed Claim Packages and cover letters to the 4,669  
15 institutions included on the U.S. Securities and Exchange Commission’s list of active brokers and  
16 dealers at the time of mailing.

17           8.       On February 9, 2017, Gilardi delivered electronic copies of the Claim Package to 407  
18 registered electronic filers who are qualified to submit electronic claims. These filers are primarily  
19 institutions and third-party filers who typically file numerous claims on behalf of beneficial owners for  
20 whom they act as trustees or fiduciaries.

21           9.       As part of the notice campaign for this settlement, Gilardi caused the Claim Package to be  
22 published by the Depository Trust Company (“DTC”) on the DTC Legal Notice System (“LENS”). LENS  
23 enables the participating bank and broker nominees to review the Claim Package and contact the Claims  
24 Administrator for copies of the Claim Package for their beneficial holders.

25           10.      Gilardi has also acted as a repository for shareholder and nominee inquiries and  
26 communications received in this Litigation. In this regard, Gilardi has forwarded the Claim Package on  
27 request to nominees who hold Revance common stock for the beneficial interest of other persons. Gilardi  
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1 has also forwarded the Claim Package directly to beneficial owners upon receipt of the names and addresses  
2 from such beneficial owners or nominees.

3 11. To date, in response to the outreach efforts described above, Gilardi has received 28  
4 responses that included computer files listing a total of 3,195 names and addresses of potential Class  
5 Members. Gilardi has also received two responses that included mailing labels with names and addresses of  
6 an additional 40 potential Class Members. In addition, nine institutions requested that Gilardi send them a  
7 total of 2,385 Claim Packages for forwarding directly to their clients.

8 12. Accordingly, as of the date of this declaration, Gilardi has mailed a total of 10,964 Claim  
9 Packages to potential Class Members and nominees.

#### 10 **TELEPHONE HOTLINE AND WEBSITE**

11 13. Gilardi established and continues to maintain a toll-free telephone number to  
12 accommodate potential Class Member inquiries. This toll-free number, 1-888-279-2322, became  
13 operational on February 3, 2017. All inquiries to the toll-free telephone number have been and will  
14 continue to be promptly responded to.

15 14. To further assist potential Class Members in the settlement, Gilardi established and  
16 maintains a website dedicated to the Litigation ([www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com)). This website  
17 became operational on February 3, 2017. Visitors to the website can download the Claim Package, the  
18 Stipulation of Settlement, and the Order. Class Members can also complete and submit a Proof of  
19 Claim through the settlement website.

#### 20 **PUBLICATION OF THE SUMMARY NOTICE**

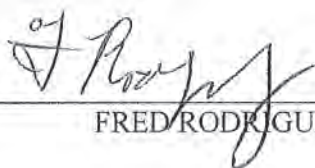
21 15. In accordance with the Order, Gilardi caused the Summary Notice to be published in *The*  
22 *Wall Street Journal* and transmitted over *the PR Newswire* on February 10, 2017, as shown in the  
23 Declarations of Publication attached hereto as Exhibit D.

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**NO REQUESTS FOR EXCLUSION**

16. In accordance with the Order and as set forth in the Notice, persons who wish to exclude themselves from the Class are required to do so in writing so that the request is postmarked no later than April 19, 2017. As of the date of this declaration, Gilardi has not received any requests for exclusion.

I declare under penalty of perjury that the foregoing is true and correct and that this declaration was executed this 3<sup>rd</sup> day of April, 2017, at San Rafael, California.



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FRED RODRIGUEZ

## Exhibit A



- These rights and options – **and the deadlines to exercise them** – are explained in this Notice.
- The Court in charge of this case still has to decide whether to approve the Settlement. Payments will be made if the Court approves the Settlement and after appeals are resolved. Please be patient.

### **WHAT IS THIS LAWSUIT ABOUT?**

#### **The Allegations and Status of the Case**

On May 1, 2015, City of Warren Police and Fire Retirement System filed a complaint (the “Complaint”) for violations of the federal securities laws against Defendants in the Superior Court of California for the County of San Mateo.

Plaintiff, which purchased Revance common stock issued in connection with Revance’s follow-on public offering on or about June 19, 2014, alleged that Defendants issued a materially false and misleading Registration Statement and Prospectus that misled investors regarding Revance’s clinical trial program, design and formulation for RT001, a physician-applied topical botulinum toxin type A treatment. Defendants deny that the Registration Statement and Prospectus contained any false or misleading statements.

On June 5, 2015, Defendants removed the case to federal court pursuant to 28 U.S.C. §1441(a). On August 31, 2015, the case was remanded back to the state court. On October 5, 2015, Defendants filed a Notice of Motion and Motion to Transfer Venue. On October 20, 2015, the parties entered into a stipulation transferring the action to this Court. The Litigation was transferred on November 4, 2015.

The parties thereafter agreed to attend a mediation session conducted by a third-party neutral, the Hon. Layn R. Phillips (Ret.). In advance of the mediation, the parties voluntarily exchanged non-public documents, which were reviewed and analyzed. Plaintiff and Defendants then submitted and exchanged mediation and reply statements summarizing their respective positions. The mediation session was held on July 26, 2016. While the Settling Parties did not reach an agreement to settle the Litigation at the mediation, the Settling Parties continued their negotiations through Judge Phillips. These efforts culminated with the Settling Parties agreeing to settle the Litigation for \$6,400,000 in cash.

**THE COURT HAS NOT RULED AS TO WHETHER DEFENDANTS ARE LIABLE TO PLAINTIFF OR TO THE CLASS. THIS NOTICE IS NOT INTENDED TO BE AN EXPRESSION OF ANY OPINION BY THE COURT WITH RESPECT TO THE TRUTH OF THE ALLEGATIONS IN THIS LAWSUIT OR THE MERITS OF THE CLAIMS OR DEFENSES ASSERTED. THIS NOTICE IS SOLELY TO ADVISE YOU OF THE PENDENCY OF THE LITIGATION AND PROPOSED SETTLEMENT THEREOF AND YOUR RIGHTS IN CONNECTION WITH THAT SETTLEMENT.**

#### **HOW DO I KNOW IF I AM A CLASS MEMBER?**

If you purchased or otherwise acquired the common stock of Revance pursuant and/or traceable to the Registration Statement and Prospectus filed in connection with Revance’s June 19, 2014 secondary offering, you are a Class Member. As set forth in the Stipulation, excluded from the Class are Defendants and their families, the officers, directors and affiliates of the Defendants, at all relevant times, members of their immediate families, heirs, successors or assigns and any entity in which Defendants have or had a controlling interest. Also excluded is any Person who validly requests exclusion from the Class.

If you are not sure if you are a Class Member, you can ask for free help. You can contact the Claims Administrator at 1-888-279-2322 or Rick Nelson, a representative of Lead Counsel at 1-800-449-4900. You can also fill out and return the Proof of Claim form enclosed with this Notice.

#### **WHAT IS THE MONETARY VALUE OF THE PROPOSED SETTLEMENT?**

The Settlement, if approved, will result in the creation of a cash settlement fund of \$6,400,000 (the “Settlement Amount”). The Settlement Amount, plus accrued interest (the “Settlement Fund”) and minus the costs of this Notice and all costs associated with the administration of the Settlement, as well as any attorneys’ fees, expenses, and payment to Plaintiff for its time and expenses in representing the Class that may be approved by the Court (the “Net Settlement Fund”), will be distributed to Class Members pursuant to the Plan of Allocation that is described in the next section of this Notice.

Plaintiff estimates that there are approximately 2,990,000 shares of Revance common stock which may have been damaged during the period beginning on June 19, 2014 and ending on May 1, 2015 (the “Eligibility Period”). Plaintiff estimates that the average recovery under the Settlement is roughly \$2.14 per damaged share before notice and administration costs and the attorneys’ fee and expense award as determined by the Court. Should the Court award attorneys’ fees of 33% of the Settlement Fund (or \$2,112,000), Plaintiff’s Counsel’s expenses of up to \$85,000,



and the maximum estimated cost of notice and administration of the Settlement of \$275,000, the average per share recovery would be approximately \$1.31. Using certain estimates of the number of claims that are going to be filed (claims representing 30% of shares that may have been damaged) and the number of estimated claims that are going to be valid (70% of the 30%), it is estimated that approximately 627,900 shares will participate in the Settlement and the average recovery will be approximately \$6.26 per share. Of course, these are just estimates; a Class Member's actual recovery will be a proportion of the Net Settlement Fund determined by that claimant's recognized claim as compared to the total recognized claims submitted. An individual Class Member may receive more or less than this average amount depending on the number of claims submitted, when during the Eligibility Period a Class Member purchased or acquired Revance common stock, the purchase or acquisition price paid, and whether those shares were held at the end of the Eligibility Period or sold during the Eligibility Period, and, if sold, when they were sold and the amount received. See Plan of Allocation below for more information on your recognized claim.

### **WHAT IS THE PROPOSED PLAN OF ALLOCATION?**

Your share of the Net Settlement Fund will depend on the number of valid Proofs of Claim that Class Members send in and how many shares of Revance common stock you purchased or acquired during the relevant period and when you bought and sold them.

For purposes of determining the amount an Authorized Claimant may recover under the Plan of Allocation, Lead Counsel conferred with its damages consultant and the Plan of Allocation reflects an assessment of damages that they believe could have been recovered had Plaintiff prevailed at trial.

In the unlikely event there are sufficient funds in the Net Settlement Fund, each Authorized Claimant will receive an amount equal to the Authorized Claimant's claim, as defined below. If, however, the amount in the Net Settlement Fund is not sufficient to permit payment of the total claim of each Authorized Claimant, then each Authorized Claimant shall be paid the percentage of the Net Settlement Fund that each Authorized Claimant's claim bears to the total of the claims of all Authorized Claimants. Payment in this manner shall be deemed conclusive against all Authorized Claimants.

The calculation of claims below is not an estimate of the amount you will receive. It is a formula for allocating the Net Settlement Fund among all Authorized Claimants. A claim will be calculated as follows:

#### **Claims for the June 19, 2014 Public Offering**

Public Offering Price:	\$30.50 per share
Closing price on the date the lawsuit was filed <sup>2</sup> :	\$20.22 per share

For shares of Revance common stock purchased, pursuant to the Company's June 18, 2014 Registration Statement and

- (1) sold on or before April 30, 2015, the claim per share is the least of (i) the Purchase Price less the Sales Price, or (ii) \$30.50 less the Sales Price;
- (2) retained at the close of trading on April 30, 2015, or, sold on or after May 1, 2015, the claim per share is the least of (i) \$30.50 less \$20.22, or (ii) the Purchase Price less the Sales Price.

In the event a Class Member has more than one purchase, acquisition, or sale of Revance common stock during the Eligibility Period, all purchases, acquisitions, and sales within the Eligibility Period shall be matched on a First-In, First-Out ("FIFO") basis for purposes of calculating a claim. Under the FIFO method, Eligibility Period sales will be matched first against any holdings at the beginning of the Eligibility Period, and then in chronological order against Revance common stock purchased or acquired during the Eligibility Period.

A purchase, acquisition, or sale of Revance common stock shall be deemed to have occurred on the "contract" or "trade" date as opposed to the "settlement" or "payment" date. All purchase, acquisition, and sale prices shall exclude any fees and commissions. The receipt or grant by gift, devise or operation of law of Revance common stock during the Eligibility Period shall not be deemed a purchase, acquisition, or sale of Revance common stock for the calculation of a claimant's recognized claim nor shall it be deemed an assignment of any claim relating to the purchase or acquisition of such shares unless specifically provided in the instrument of gift or assignment. The receipt of Revance common stock during the Eligibility Period in exchange for securities of any other corporation or entity shall not be deemed a purchase, acquisition, or sale of Revance common stock.

Payment according to the Plan of Allocation will be deemed conclusive against all Authorized Claimants. No Person shall have any claim against Plaintiff, Plaintiff's Counsel, any claims administrator, any other Person

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<sup>2</sup> The first complaint was filed on May 1, 2015.

designated by Plaintiff's Counsel, Defendants, Defendants' Related Persons, or counsel to Defendants or their Related Persons based on distributions made substantially in accordance with the Stipulation, the Plan of Allocation, or further orders of the Court.

Covering purchases during the Eligibility Period for shares originally sold short will be excluded from the calculation of an Authorized Claimant's recognized claim. In the event that there is a short position in Revance common stock, the date of covering a "short sale" is deemed to be the date of purchase of the stock. The date of a "short sale" is deemed to be the date of sale of the stock. The earliest Eligibility Period purchases shall be matched against such short position, and not be entitled to a recovery, until that short position is fully covered.

With respect to Revance common stock purchased or sold through the exercise of an option, the purchase/sale date of the common stock is the exercise date of the option and the purchase/sale price of the stock is the exercise price of the option. Any recognized claim arising from purchases of Revance common stock acquired during the Eligibility Period through the exercise of an option on Revance common stock shall be computed as provided for other purchases of Revance common stock in the Plan of Allocation.

The total of all profits shall be subtracted from the total of all losses from transactions during the Eligibility Period to determine if a Class Member has a recognized claim. Only if a Class Member had a net market loss, after all profits from transactions in Revance common stock during the Eligibility Period are subtracted from all losses, will such Class Member be eligible to receive a distribution from the Net Settlement Fund. Shares held as of the beginning of the Eligibility Period will be excluded for purposes of calculating a market gain or loss.

If an Authorized Claimant has an overall market gain, the recognized claim for that Authorized Claimant will be zero. If an Authorized Claimant has an overall market loss, that Authorized Claimant's recognized claim will be limited to the amount of total market loss. The Claims Administrator shall allocate to each Authorized Claimant a *pro rata* share of the Net Settlement Fund based on his, her, or its recognized claim as compared to the total recognized claims of all Authorized Claimants. No distribution shall be made to Authorized Claimants who would otherwise receive a distribution of less than \$10.00.

Class Members who do not submit acceptable Proofs of Claim will not share in the Settlement proceeds. The Settlement and the Final Judgment dismissing this Litigation will nevertheless bind Class Members who do not submit a request for exclusion and/or submit an acceptable Proof of Claim.

Please contact the Claims Administrator or Lead Counsel if you disagree with any determinations made by the Claims Administrator regarding your Proof of Claim. If you are unsatisfied with the determinations, you may ask the Court, which retains jurisdiction over all Class Members and the claims administration process, to decide the issue by submitting a written request.

Defendants, their respective counsel, and all other Related Persons will have no responsibility or liability whatsoever for the investment of the Settlement Fund, the distribution of the Net Settlement Fund, the Plan of Allocation, or the payment of any claim. Plaintiff and Plaintiff's Counsel, likewise, will have no liability for their reasonable efforts to execute, administer, and distribute the Settlement.

Distributions will be made to Authorized Claimants after all claims have been processed and after the Court has finally approved the Settlement. If any funds remain in the Net Settlement Fund by reason of un-cashed distribution checks or otherwise, then, after the Claims Administrator has made reasonable and diligent efforts to have Class Members who are entitled to participate in the distribution of the Net Settlement Fund cash their distributions, any balance remaining in the Net Settlement Fund after at least six (6) months after the initial distribution of such funds shall be used: (a) first, to pay any valid claims which were submitted after the initial distribution of the Net Settlement Fund; (b) second, to pay additional settlement administration fees, costs, and expenses, including those of Plaintiff's Counsel as may be approved by the Court; and (c) to make a second distribution to claimants who cashed their checks from the initial distribution and who would receive at least \$10.00, after payment of the estimated costs, expenses, or fees to be incurred in administering the Net Settlement Fund and in making this second distribution, if such second distribution is economically feasible. These redistributions shall be repeated, if economically feasible, until the balance remaining in the Net Settlement Fund is *de minimis* and such remaining balance shall then be distributed to Bay Area Legal Aid.

**MUST I CONTACT PLAINTIFF'S COUNSEL IN ORDER TO PARTICIPATE  
IN DISTRIBUTION OF THE SETTLEMENT FUND?**

No. If you have received this Notice and timely submit your Proof of Claim to the address designated on the Proof of Claim form accompanying this Notice, you need not contact Plaintiff's Counsel. If you did not receive this Notice by mail but believe you should have, or if your address changes, please contact the Claims Administrator at:

*Revance Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30249  
College Station, TX 77842-3249  
Phone: 1-888-279-2322  
[www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com)

**THERE WILL BE NO PAYMENTS IF THE STIPULATION IS TERMINATED**

The Stipulation may be terminated under several circumstances outlined in it. If the Stipulation is terminated, the Litigation will proceed as if the Stipulation had not been entered into.

**WHAT ARE THE REASONS FOR SETTLEMENT?**

The Court has not reached any decisions regarding the merits of the claims or defenses asserted in the Litigation. Instead, Plaintiff and Defendants have agreed to this Settlement, which was reached with the substantial assistance of the Hon. Layn R. Phillips (Ret.), a highly experienced mediator of complex class actions. In reaching the Settlement, the Settling Parties have avoided the cost, delay, and uncertainty of further litigation.

As in any litigation, Plaintiff and the Class would face an uncertain outcome if they did not agree to the Settlement. The Settling Parties expected that the case could continue for a lengthy period of time and that if Plaintiff succeeded, Defendants would file appeals that would postpone final resolution of the case. Continuation of the case against Defendants could result in a judgment greater than this Settlement. Conversely, continuing the case could result in no recovery at all or a recovery that is less than the amount of the Settlement.

Plaintiff and Plaintiff's Counsel believe that this Settlement is fair and reasonable to the Members of the Class. They have reached this conclusion for several reasons. Specifically, if the Settlement is approved, the Class will receive a significant monetary recovery. Additionally, Plaintiff's Counsel believe that the significant and immediate benefits of the Settlement, when weighed against the significant risk, delay and uncertainty of continued litigation, are an excellent result for the Class.

**WHO REPRESENTS THE CLASS?**

The law firms of Robbins Geller Rudman & Dowd LLP and Vanoverbeke Michaud & Timmony, P.C. represent you and other Class Members. These lawyers are called Plaintiff's Counsel. These lawyers will apply to the Court for payment of attorneys' fees and expenses from the Settlement Fund; you will not be otherwise charged for their work. If you want to be represented by your own lawyer, you may hire one at your own expense.

**HOW WILL THE PLAINTIFF'S LAWYERS BE PAID?**

Plaintiff's Counsel will file a motion for an award of attorneys' fees and expenses that will be considered at the Settlement Fairness Hearing. Plaintiff's Counsel will apply for an award not to exceed 33% of the Settlement Fund, plus payment of expenses incurred in connection with the Litigation in an amount not to exceed \$85,000. Such sums as may be approved by the Court will be paid from the Settlement Fund. Class Members are not personally liable for any such fees or expenses.

The attorneys' fees and expenses requested will be the only payment to Plaintiff's Counsel for their efforts in achieving this Settlement and for their risk in undertaking this representation on a wholly contingent basis. Plaintiff's Counsel have committed significant time and expenses in litigating this case for the benefit of the Class. To date, Plaintiff's Counsel have not been paid for their services in conducting this Litigation on behalf of the Plaintiff and the Class, or for their expenses. The fees requested will compensate Plaintiff's Counsel for their work in achieving the Settlement. The Court will decide what constitutes a reasonable fee award and may award less than the amount requested by Plaintiff's Counsel.

## CAN I EXCLUDE MYSELF FROM THE SETTLEMENT?

If you want to keep the right to sue or continue to sue Defendants on your own about the legal issues in this case, then you must take steps to get out of the Class. This is called excluding yourself from, or “opting out” of, the Class.

To exclude yourself from the Class, you must send a letter by mail saying that you want to be excluded from the Class in the following action: *City of Warren Police and Fire Retirement System v. Revance Therapeutics, Inc., et al.*, Case No. 1-15-CV-287794. Be sure to include your name, address, telephone number, and sign the letter. You should also include the number of shares of Revance common stock you purchased or acquired that are subject to the Litigation. Your exclusion request must be **postmarked no later than April 19, 2017** and sent to the Claims Administrator at:

*Revance Securities Litigation*  
EXCLUSIONS  
Claims Administrator  
Gilardi & Co. LLC  
3301 Kerner Blvd.  
San Rafael, CA 94901

You cannot exclude yourself by phone or by e-mail. If you make a proper request for exclusion, you will not receive a Settlement payment, and you cannot object to the Settlement. If you make a proper request for exclusion, you will not be legally bound by anything that happens in this lawsuit.

## CAN I OBJECT TO THE SETTLEMENT, THE REQUESTED ATTORNEYS' FEES AND EXPENSES AND/OR THE PLAN OF ALLOCATION?

Yes. If you are a Class Member, you may object to the terms of the Settlement. Whether or not you object to the terms of the Settlement, you may also object to the requested attorneys' fees, costs and expenses, and/or the Plan of Allocation. You may object by filing a written statement, accompanied by proof of Class membership, with the Court, and sending a copy to Lead Counsel **prior to the Settlement Fairness Hearing**. The Court's address is: Superior Court of Santa Clara, 191 North First Street, San Jose, CA 95113, and Lead Counsel's address is: Robbins Geller Rudman & Dowd LLP, 655 West Broadway, Suite 1900, San Diego, CA 92101, c/o Ellen Gusikoff Stewart. Attendance at the Settlement Fairness Hearing is not necessary for your written objection to be considered. If you wish to be heard at the Settlement Fairness Hearing, you may appear and submit your objection in writing or orally at the hearing, without filing an objection or notice to appear in advance.

## WHAT IS THE DIFFERENCE BETWEEN OBJECTING AND EXCLUDING MYSELF FROM THE SETTLEMENT?

Objecting is telling the Court that you do not like something about the proposed Settlement, the Plan of Allocation, or Plaintiff's Counsel's request for an award of attorneys' fees and expenses. You can object **only** if you stay in the Class. Excluding yourself is telling the Court that you do not want to be part of the Class. If you exclude yourself, you have no basis to object because the case no longer applies to you.

## HOW CAN I GET A PAYMENT?

In order to qualify for a payment, you must timely submit a Proof of Claim. A Proof of Claim is enclosed with this Notice or it may be downloaded at [www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com). Read the instructions carefully, fill out the Proof of Claim, include all the documents the form asks for, sign it, and mail or submit it online so that it is **postmarked (if mailed) or received (if filed electronically) no later than June 5, 2017**. The claim form may be submitted online at [www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com). If you do not submit a valid Proof of Claim form, you will not receive a payment from the Net Settlement Fund; however, unless you expressly exclude yourself from the Class as described above, you will still be bound in all other respects by the Settlement, the Judgment, and the releases contained in them.

## WHAT CLAIMS WILL BE RELEASED BY THE SETTLEMENT?

Unless you exclude yourself, you are staying in the Class, and that means that you cannot sue, continue to sue, or be part of any other lawsuit against the Defendants relating to the same issues asserted in this case or to issues that could have been asserted in this case. It also means that all of the Court's orders will apply to you and legally bind you and you will release your Released Claims in this case against Defendants and their Related Persons. "Released Claims" shall collectively mean any and all claims, debts, demands, disputes, rights, causes of action, suits, damages, or liabilities of any kind, nature, and character whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any and all other costs, expert or consulting fees, and any and all other costs, expenses or liabilities whatsoever), whether under federal, state, local, statutory, common law, foreign law, or any other law, rule or regulation, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, including Unknown Claims (defined in the Stipulation), whether or not concealed or hidden (including, but not limited to, claims for securities fraud, negligence, gross negligence, professional negligence, breach of any duty of care and/or breach of duty of loyalty, fraud, breach of fiduciary duty, aiding and abetting a breach of fiduciary duty, breach of contract, unjust enrichment, corporate waste, or violations of any statutes, rules, duties or regulations) that have been or could have been or in the future could be asserted in any forum, whether foreign or domestic, by Plaintiff or any Class Member, or any Person claiming through or on behalf of them, against any of the Released Parties that concern, arise out of, are based on or relate in any way, directly or indirectly, to (i) the purchase or sale of Revance common stock, and (ii) the allegations, facts, events, transactions, acts, occurrences, statements, representations, misrepresentations, or omissions which were or could have been alleged in the Litigation, including, but not limited to, allegations relating to the Prospectus or Registration Statement dated June 18, 2014. "Released Claims" further includes any and all claims arising out of, based upon or related to the Settlement or resolution of the Litigation, except for any alleged breaches of the Stipulation.

"Related Persons" means each of a Defendant's past, present or future parents, subsidiaries, affiliates, divisions and joint ventures, and their respective directors, officers, employees, partners, members, principals, agents, underwriters, insurers, co-insurers, reinsurers, controlling shareholders, attorneys, accountants or auditors, financial or investment advisors or consultants, banks or investment bankers, personal or legal representatives, predecessors, successors, assigns, spouses, heirs, related or affiliated entities, any entity in which a Defendant has a controlling interest, any member of an Individual Defendant's immediate family, or any trust of which any Individual Defendant is the settlor or which is for the benefit of any Defendant and/or member(s) of his or her family.

## THE SETTLEMENT FAIRNESS HEARING

The Court will hold a Settlement Fairness Hearing on May 19, 2017, at 9:00 a.m., before the Honorable Brian C. Walsh at the Superior Court of California, County of Santa Clara, 191 North First Street, San Jose, CA 95113, for the purpose of determining whether: (1) the Settlement of the Litigation for \$6,400,000 in cash should be approved by the Court as fair, reasonable, and adequate; (2) to award Plaintiff's Counsel attorneys' fees and expenses out of the Settlement Fund; and (3) the Plan of Allocation should be approved by the Court. The Court may adjourn or continue the Settlement Fairness Hearing without further notice to Members of the Class. If you want to attend the hearing, you should check with Plaintiff's Counsel or the website beforehand to be sure that the date and/or time have not changed.

## HOW DO I OBTAIN ADDITIONAL INFORMATION?

This Notice contains only a summary of the terms of the proposed Settlement. The records in this Litigation may be examined and copied at any time during regular office hours, and subject to customary copying fees, at the Clerk of the Superior Court of California, County of Santa Clara. In addition, all of the Settlement documents, including the Stipulation, this Notice, the Proof of Claim form, and proposed Judgment may be obtained by contacting the Claims Administrator at:

*Revance Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30249  
College Station, TX 77842-3249  
Phone: 1-888-279-2322  
[www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com)  
[info@revancesecuritiessettlement.com](mailto:info@revancesecuritiessettlement.com)

In addition, you may contact Rick Nelson, Shareholder Relations, Robbins Geller Rudman & Dowd LLP, 655 West Broadway, Suite 1900, San Diego, CA 92101, 1-800-449-4900, if you have any questions about the Litigation or the Settlement or want to obtain Settlement documents.

**DO NOT WRITE TO OR TELEPHONE THE COURT FOR INFORMATION**

## **SPECIAL NOTICE TO BANKS, BROKERS, AND OTHER NOMINEES**

If you hold any Revance common stock purchased or acquired pursuant and/or traceable to Revance's June 19, 2014 secondary offering, as a nominee for a beneficial owner, then, within ten (10) days after you receive this Notice, you must either: (1) send a copy of this Notice by First-Class Mail to all such Persons; or (2) provide a list of the names and addresses of such Persons to the Claims Administrator:

*Revance Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30249  
College Station, TX 77842-3249  
info@revancesecuritiessettlement.com

If you choose to mail the Notice and Proof of Claim yourself, you may obtain from the Claims Administrator (without cost to you) as many additional copies of these documents as you will need to complete the mailing.

Regardless of whether you choose to complete the mailing yourself or elect to have the mailing performed for you, you may obtain reimbursement for or advancement of reasonable administrative costs actually incurred or expected to be incurred in connection with forwarding the Notice and which would not have been incurred but for the obligation to forward the Notice, upon submission of appropriate documentation to the Claims Administrator.

DATED: January 6, 2017

BY ORDER OF THE SUPERIOR COURT OF  
CALIFORNIA, COUNTY OF SANTA CLARA  
HONORABLE BRIAN C. WALSH

## Exhibit B

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA

CITY OF WARREN POLICE AND FIRE )  
RETIREMENT SYSTEM, Individually and on Behalf ) Case No. 1-15-CV-287794  
of All Others Similarly Situated, ) CLASS ACTION  
)  
Plaintiff, )  
) Judge: Hon. Brian C. Walsh  
vs. ) Dept: 1  
) Date Action Filed: 05/01/15  
REVANCE THERAPEUTICS, INC., et al., )  
)  
Defendants. )  
)

---

**PROOF OF CLAIM AND RELEASE**

**I. GENERAL INSTRUCTIONS**

1. To recover as a Member of the Class based on your claims in the action entitled *City of Warren Police and Fire Retirement System v. Revance Therapeutics, Inc., et al.*, Case No. 1:15-CV-287794 (the "Litigation"), you must complete and, on page 6 hereof, sign this Proof of Claim and Release ("Proof of Claim"). If you fail to file a properly addressed (as set forth in paragraph 3 below) Proof of Claim, your claim may be rejected and you may be precluded from any recovery from the Net Settlement Fund created in connection with the proposed Settlement of the Litigation.

2. Submission of this Proof of Claim, however, does not assure that you will share in the proceeds of the Settlement of the Litigation.

3. **YOU MUST MAIL OR SUBMIT ONLINE YOUR COMPLETED AND SIGNED PROOF OF CLAIM, ACCOMPANIED BY COPIES OF THE DOCUMENTS REQUESTED HEREIN, ON OR BEFORE JUNE 5, 2017, ADDRESSED AS FOLLOWS:**

*Revance Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30249

College Station, TX 77842-3249

Online Submissions: [www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com)

If you are NOT a Member of the Class, as defined in the Notice of Proposed Settlement of Class Action (the "Notice"), DO NOT submit a Proof of Claim.

4. If you are a Member of the Class and you do not timely request exclusion in connection with the proposed Settlement, you will be bound by the terms of any judgment entered in the Litigation, including the releases provided therein, WHETHER OR NOT YOU SUBMIT A PROOF OF CLAIM.

**II. CLAIMANT IDENTIFICATION**

If you purchased or acquired the common stock of Revance Therapeutics, Inc. ("Revance" or the "Company") pursuant and/or traceable to the Registration Statement and Prospectus for Revance's June 19, 2014 secondary offering, use Part I of this form entitled "Claimant Identification" to list the claimant name, mailing address, and account information if relevant (such as for a claim submitted on behalf of an IRA, Trust, or estate account). Please list the most current claimant or account name as you would like the information to appear on the check, if eligible for payment. Please also provide a telephone number and/or e-mail address, as the Claims Administrator may need to contact you with questions about the claim submitted. If your Claimant Identification information changes, please notify the Claims Administrator in writing at the address above.

If you are acting in a representative capacity on behalf of a Class Member (for example, as an executor, administrator, trustee, or other representative), you must submit evidence of your current authority to act on behalf of that Class Member. Such evidence would include, for example, letters testamentary, letters of administration, or a copy of the trust documents or other documents which provide you with the authority to submit the claim. Please also indicate your representative capacity under your signature on page 6 of this Proof of Claim.

NOTICE REGARDING ELECTRONIC FILES: Certain claimants with large numbers of transactions may request to, or may be requested to, submit information regarding their transactions in electronic files. If you have a large number of transactions and wish to file your claim electronically, you must contact the Claims Administrator at [edata@gilardi.com](mailto:edata@gilardi.com) to obtain the required file layout.



### III. CLAIM FORM

Use Part II of this form entitled "Schedule of Transactions in Revance Common Stock" to supply all required details of your transaction(s) in Revance common stock. If you need more space or additional schedules, attach separate sheets giving all of the required information in substantially the same form. Sign and print or type your name on each additional sheet.

On the schedules, provide all of the requested information with respect to **all** of your purchases or acquisitions and **all** of your sales of Revance common stock between June 19, 2014 and May 1, 2015, inclusive, which took place pursuant to and/or traceable to Revance's secondary offering on or about June 19, 2014, whether such transactions resulted in a profit or a loss. You must also provide all of the requested information with respect to **all** of the shares of Revance common stock you held at the close of trading on June 18, 2014 and at the close of trading on May 1, 2015. Failure to report all such transactions may result in the rejection of your claim.

List these transactions separately and in chronological order, by trade date, beginning with the earliest. You must accurately provide the month, day, and year of each transaction you list.

The date of covering a "short sale" is deemed to be the date of purchase of Revance common stock. The date of a "short sale" is deemed to be the date of sale of Revance common stock.

Copies of stockbroker confirmation slips, stockbroker statements, or other documents evidencing your transactions in Revance common stock should be attached to your claim. If any such documents are not in your possession, please obtain a copy or equivalent documents from your broker because these documents are necessary to prove and process your claim. Failure to provide this documentation could delay verification of your claim or result in rejection of your claim.



Official Office Use Only



Must Be Postmarked (if Mailed) or Received (if Submitted Online) No Later Than June 5, 2017

SUPERIOR COURT OF THE STATE OF CALIFORNIA COUNTY OF SANTA CLARA

City of Warren Police and Fire Retirement System v. Revance Therapeutics, Inc., et al.,

Case No. 1:15-CV-287794

RVC



PROOF OF CLAIM AND RELEASE

Please Type or Print in the Boxes Below Do NOT use Red Ink, Pencil, or Staples

PART I: CLAIMANT IDENTIFICATION

Last Name, M.I., First Name

Last Name (Co-Beneficial Owner), M.I., First Name (Co-Beneficial Owner)

IRA, Joint Tenancy, Employee, Individual, Other (specify)

Company Name (Beneficial Owner - If Claimant is not an Individual) or Custodian Name if an IRA

Trustee/Asset Manager/Nominee/Record Owner's Name (If Different from Beneficial Owner Listed Above)

Account#/Fund# (Not Necessary for Individual Filers)

Last Four Digits of Social Security Number, Taxpayer Identification Number

Telephone Number (Primary Daytime), Telephone Number (Alternate)

Email Address

MAILING INFORMATION

Address

Address

City, State, Zip Code

Foreign Province, Foreign Postal Code, Foreign Country Name/Abbreviation

FOR CLAIMS PROCESSING ONLY, OB, CB, ATP, BE, FL, OP, KE, DR, ME, RE, ICI, EM, ND, SH, MM/DD/YYYY, FOR CLAIMS PROCESSING ONLY



**PART II. SCHEDULE OF TRANSACTIONS IN REVANCE COMMON STOCK**

A. Number of shares of Revance common stock held at the close of trading on June 18, 2014:           Proof Enclosed?  Y  N

B. Purchases or acquisitions of Revance common stock between June 19, 2014 and May 1, 2015, inclusive:

PURCHASES				Total Purchase or Acquisition Price (Excluding Commissions, Taxes and Fees). Please round off to the nearest whole dollar	Proof of Purchase Enclosed?											
Trade Date(s) of Shares (List Chronologically)				Number of Shares Purchased or Acquired												
M	M	D	D	Y	Y	Y	Y									
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
5.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N

IMPORTANT: (i) If any purchase listed covered a "short sale," please mark Yes:  Yes

(ii) If you received shares through an acquisition or merger, please identify the date, the share amount and the company acquired:

M M D D Y Y Y Y Merger Shares: Company:

/   /

C. Sales of Revance common stock between June 19, 2014 and May 1, 2015, inclusive:

SALES				Total Sales Price (Excluding Commissions, Taxes and Fees). Please round off to the nearest whole dollar	Proof of Sales Enclosed?											
Trade Date(s) of Shares (List Chronologically)				Number of Shares Sold												
M	M	D	D	Y	Y	Y	Y									
1.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
2.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
3.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
4.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N
5.	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	\$	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="radio"/> Y <input type="radio"/> N

D. Number of shares of Revance common stock held at the close of trading on May 1, 2015:           Proof Enclosed?  Y  N

IF YOU NEED ADDITIONAL SPACE TO LIST YOUR TRANSACTIONS PLEASE PHOTOCOPY THIS PAGE,  
WRITE YOUR NAME ON THE COPY AND FILL THIS CIRCLE:

IF YOU DO NOT FILL IN THIS CIRCLE THESE ADDITIONAL PAGES MAY NOT BE REVIEWED.

YOU MUST READ AND SIGN THE RELEASE ON PAGE 6. FAILURE TO SIGN THE RELEASE  
MAY RESULT IN A DELAY IN PROCESSING OR THE REJECTION OF YOUR CLAIM.



#### IV. SUBMISSION TO JURISDICTION OF COURT AND ACKNOWLEDGMENTS

I (We) submit this Proof of Claim under the terms of the Stipulation described in the Notice. I (We) also submit to the jurisdiction of the Superior Court of the State of California, County of Santa Clara with respect to my (our) claim as a Class Member and for purposes of enforcing the release set forth herein. I (We) further acknowledge that I am (we are) bound by and subject to the terms of any judgment that may be entered in the Litigation. I (We) agree to furnish additional information to the Claims Administrator to support this claim if requested to do so. I (We) have not submitted any other claim in connection with the purchase, acquisition, or sale of Revance common stock and know of no other person having done so on my (our) behalf.

#### V. RELEASE

1. I (We) hereby acknowledge full and complete satisfaction of, and do hereby fully, finally, and forever settle, release, and discharge from the Released Claims each and all of the Released Parties as provided in the Stipulation.

2. "Related Persons" means each of a Defendant's past, present or future parents, subsidiaries, affiliates, divisions and joint ventures, and their respective directors, officers, employees, partners, members, principals, agents, underwriters, insurers, co-insurers, reinsurers, controlling shareholders, attorneys, accountants or auditors, financial or investment advisors or consultants, banks or investment bankers, personal or legal representatives, predecessors, successors, assigns, spouses, heirs, related or affiliated entities, any entity in which a Defendant has a controlling interest, any member of an Individual Defendant's immediate family, or any trust of which any Individual Defendant is the settlor or which is for the benefit of any Defendant and/or member(s) of his or her family.

3. "Released Claims" shall collectively mean any and all claims, debts, demands, disputes, rights, causes of action, suits, damages, or liabilities of any kind, nature, and character whatsoever (including, but not limited to, any claims for damages, interest, attorneys' fees, expert or consulting fees, and any and all other costs, expert or consulting fees, and any and all other costs, expenses or liabilities whatsoever), whether under federal, state, local, statutory, common law, foreign law, or any other law, rule or regulation, whether fixed or contingent, accrued or unaccrued, liquidated or unliquidated, at law or in equity, matured or unmatured, including Unknown Claims (defined in the Stipulation), whether or not concealed or hidden (including, but not limited to, claims for securities fraud, negligence, gross negligence, professional negligence, breach of any duty of care and/or breach of duty of loyalty, fraud, breach of fiduciary duty, aiding and abetting a breach of fiduciary duty, breach of contract, unjust enrichment, corporate waste, or violations of any statutes, rules, duties or regulations) that have been or could have been or in the future could be asserted in any forum, whether foreign or domestic, by Plaintiff or any Class Member, or any Person claiming through or on behalf of them, against any of the Released Parties that concern, arise out of, are based on or relate in any way, directly or indirectly, to (i) the purchase or sale of Revance common stock, and (ii) the allegations, facts, events, transactions, acts, occurrences, statements, representations, misrepresentations, or omissions which were or could have been alleged in the Litigation, including, but not limited to, allegations relating to the Prospectus or Registration Statement dated June 18, 2014. "Released Claims" further includes any and all claims arising out of, based upon or related to the Settlement or resolution of the Litigation, except for any alleged breaches of the Stipulation.

4. "Released Parties" means each and all of the Defendants and their Related Persons.

5. This release shall be of no force or effect unless and until the Court approves the Stipulation and the Settlement becomes effective on the Effective Date.

6. I (We) hereby warrant and represent that I (we) have not assigned or transferred or purported to assign or transfer, voluntarily or involuntarily, any matter released pursuant to this release or any other part or portion thereof.

7. I (We) hereby warrant and represent that I (we) have included information about all of my (our) purchases, acquisitions, and sales of Revance common stock between June 19, 2014 and May 1, 2015, inclusive, and the number of shares of Revance common stock held by me (us) at the close of trading on June 18, 2014 and on May 1, 2015.



I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct.

Executed this \_\_\_\_\_ day of \_\_\_\_\_  
(Month/Year)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Sign your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Type or print your name here)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser or Acquirer, Executor or Administrator)

\_\_\_\_\_  
(Capacity of person(s) signing, e.g.,  
Beneficial Purchaser or Acquirer, Executor or Administrator)

**ACCURATE CLAIMS PROCESSING TAKES A SIGNIFICANT AMOUNT OF TIME.  
THANK YOU FOR YOUR PATIENCE.**

Reminder Checklist:

1. Please sign the above release and declaration.
2. If this claim is being made on behalf of Joint Claimants, then both must sign.
3. Remember to attach copies of supporting documentation, if available.
4. **Do not send** originals of certificates.
5. Keep a copy of your Proof of Claim and all supporting documentation for your records.
6. If you desire an acknowledgment of receipt of your Proof of Claim please send it Certified Mail, Return Receipt Requested.
7. If you move, please send your new address to the address below.
8. **Do not use red pen or highlighter** on the Proof of Claim or supporting documentation.

**THIS PROOF OF CLAIM MUST BE POSTMARKED (IF MAILED) OR  
RECEIVED (IF SUBMITTED ONLINE) NO LATER THAN JUNE 5, 2017,  
ADDRESSED AS FOLLOWS:**

*Revance Securities Litigation*  
Claims Administrator  
c/o Gilardi & Co. LLC  
P.O. Box 30249  
College Station, TX 77842-3249  
[www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com)



## Exhibit C



3301 Kerner Blvd.  
San Rafael, CA 94901  
P: (415) 461-0410  
F: (415) 461-0412

February 3, 2017

«FirstName» «LastName»  
«Company»  
«Addr1»  
«Addr2»  
South Bend, IN 46601  
«FCountry»

Re: **Revance Securities Litigation**

Dear «GENDER» «LastName»:

Please find enclosed the Notice of Proposed Settlement of Class Action (the "Notice") and Proof of Claim and Release (the "Proof of Claim") for the above referenced litigation. Please note both the class period and the designated eligible securities described on page one of the Notice, specifically the inclusion of all persons or entities that purchased or otherwise acquired Revance Therapeutics, Inc. common stock during the period beginning on June 19, 2014 and ending on May 1, 2015, and pursuant and/or traceable to the registration statement and prospectus for the company's June 19, 2014 public offering. In addition, **the Notice provides that the Exclusion Deadline is April 19, 2017, and that the Claim Filing Deadline is June 5, 2017.**

Please pay particular attention to the "Special Notice to Banks, Brokers, and Other Nominees" on page eight of the Notice. Please do not make your own copies of the Proof of Claim as copies may not be accepted for processing. Additional copies of the appropriate documents may be requested by contacting us at the above address and/or phone number.

If we conduct the necessary mailing on your behalf, please submit names and addresses either via email to [Notifications@Gilardi.com](mailto:Notifications@Gilardi.com), via CD Rom to the above address or contact (415) 458-3015 to obtain secure FTP transmission instructions. Mailing labels will be accepted, but you may be requested to provide an additional copy of the address information you send. Do not include any confidential information that should not appear on a mailing label.

The data provided must be in one of the following formats:

- ASCII Fixed Length file
- ASCII Tab Delimited file
- Microsoft Excel spreadsheet

Your request must also specify the case name and Control Total(s) (for example, the total number of name and address records provided) for each file submission.

If you have any questions, please call (415) 458-3015.

Sincerely,

Gilardi and Company, LLC

## Exhibit D



Declaration of Publication

I, Dominic Campodonico, as Senior Project Manager, Legal Notification Services at Gilardi & Co. LLC, a KCC Class Action Services Company in San Rafael, California, hereby certify that I caused the attached notice to be printed in said publication on February 10, 2017:

Name of Publication: The Wall Street Journal

Address: 1211 Avenue of the Americas

City, State, Zip New York, NY, 10036

Phone #: 1-800-568-7625

State of: New York

I declare under penalty of perjury that the foregoing is true and correct. Executed on this 31st day of March 2017, at San Rafael, California.



Dominic Campodonico

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BANKING & FINANCE

Shipping Crisis Hits German Lenders

Commerzbank, Deutsche Bank face big losses; HSH Nordbank seeks buyer

By WILLIAM WAGES IN HAMBURG AND MAX GUGENHEIM IN LONDON

The global shipping crisis is stoking another European banking headache, this time in economic powerhouse Germany.

Commerzbank AG on Thursday warned that its losses on shipping loans could be as high as €600 million (\$641 million) this year after nearly doubling last year to €359 million. Last week, Deutsche Bank AG, Germany's largest bank by assets, said its expected losses from shipping loans nearly tripled to €246 million from a year earlier.

In Germany's port of Hamburg—one of Europe's richest cities—state-owned HSH Nordbank is racing to find a buyer or face liquidation after averting massive losses on shipping-related debt.

Analysts say the continued write-downs indicate German banks remain hesitant to take the painful measures needed to restructure their businesses, most a decade after the global economic crisis.

Before the crisis, German lenders became the world's

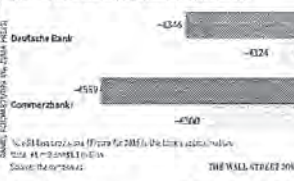


Banks are racing to dump bad shipping loans. Hamburg's port.

biggest lenders of shipping loans. As of last year they owned roughly \$90 billion, or almost one-quarter, of all outstanding shipping loans made by large banks, according to Petrofin Global Bank Research, German banks and investors own more of the world's container ship capacity than any other country—roughly 20%, according to the German Shipowners' Association.

Plunging world shipping rates and the bankruptcy of South Korea's Hanjin Shipping last year threw into turmoil a sector that previously had appeared a safe bet for export-oriented Germany. Banks world-wide are racing to dump bad shipping loans, while marine-transport companies scramble to divest themselves

of worthless vessels. "The market is deteriorating and everybody is trying to exit," said Basil Karantza, founder of Karantza Marine Advisors & Co. in New York.



Shipping losses are especially hard on German banks, which are trying to recover from the crisis, record-low interest rates and, at Deutsche Bank, scandals.

Rather than aggressively write down shipping loans early, German banks let them linger and eat into profits. The eurozone's banking watchdog has said it is increasing on-site inspections at several German banks, to resolve shipping-loan problems. Banks are lobbying global regulators to relax rules on how much capital must be held against

these loans. "No bank epitomizes the problem more clearly than HSH Nordbank, whose lending spree left it owning a commercial fleet larger than the British, French and German navies combined. European Union authorities have ordered the bank to be privatized by early next year or else. Taxpayers in northern Germany face losses of as much as €15 billion, or more than €3,000 a person.

"It's a catastrophe," said Rainer Fortin, the head of the taxpayers' association in the German state of Schleswig-Holstein, which with Hamburg owns HSH.

During the boom years, HSH bankers would mingle with local politicians and shipping magnates in a vast beer hall

under Hamburg city hall. Several of those same politicians and businessmen sat on HSH's supervisory board and encouraged it to become the world's biggest shipping lender.

After the shipping collapse, HSH's owners rescued the lender by pumping in billions of taxpayer euros and pledging to reimburse HSH for €10 billion of losses on soiled loans. EU authorities deemed that to be illegal state aid. HSH's government owners were ordered to sell their 55% stake by February 2016.

The bank is racing to make itself attractive. It created a "bad bank" that last year took ownership of 252 container ships. HSH's management has conducted roadshows in London for potential Asian investors.

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Italy's Banking Woes Persist

By GIOVANNI LEONARDI

ROME—Full-year results from Italy's largest banks reflect the deep problems the country's financial system continues to face, showing how long the road to recovery will be for Italian lenders.

In the past week, four of the country's largest banks posted a patchy set of numbers for the last three months of 2016.

This is the first set of results for major banks since the Italian government stepped in to bail out Banca d'Italia and Paschi di Siena SpA in December, after the lender failed to secure €5 billion (\$5.33 billion) from private investors to stay afloat.

The Italian government set up a €20 billion rescue fund in December that will also be available to other ailing banks, should they need it.

Analysts and bankers hailed the move as a big step toward shoring up the financial system. However, several Italian banks are still grappling with high costs and decreasing revenue.

"I am positive for the government as a solution for the banks' problems of capital," said Alberto Nagel, Mediobanca SpA's chief executive. "But losses on Italian banks' profitability will remain."

One of the main drags on lenders' profitability is the government moratorium on loans still sitting on their balance sheets, which requires provisions for potential losses quarter after quarter.

The most toxic bad loans, or sofferenze, in which a debtor is deemed insolvent, stood at €200 billion in December, roughly the same level as for the same month of 2015 and 1% higher than in November.



UniCredit's revenue fell 11% in the fourth quarter, a performance that shows Italian lenders are still under heavy pressure.

Rock-bottom interest rates continue to take a heavy toll on Italian banks, as they are predominantly commercial lenders and make most of their revenue from lending.

To make matters worse, Italy has been in and out of recession in the past 10 years, while losing around a quarter of its industrial production.

This past year, lenders' results—including those of the strongest banks such as Intesa Sanpaolo SpA—also have been dented by contributions to rescue funds set up to help weaker lenders.

On Thursday, UniCredit SpA, Italy's largest bank by assets, posted a loss of €13.6 billion for the last quarter.

UniCredit's revenue fell 11% in the fourth quarter, a performance that shows Italian lenders are still under heavy pressure.

UniCredit's revenue performance illustrates how lenders are still under heavy pressure. Its net interest income—the difference between what lenders earn from loans and pay for deposits, and a key profit driver for retail banks—dropped 15% in the fourth quarter from a year earlier and fell 7% from the previous quarter.

Its fees declined 5% from a year earlier and total revenue slid 1%.

FINANCE WATCH. SOCIÉTÉ GÉNÉRALE. Taxes, Croatia Sale Det Net Profit. French lender Société Générale SA reported a smaller-than-expected drop in fourth-quarter net profit, hurt by higher taxes and the sale of its Croatian subsidiary.

—Wendie Bitterbe

Declaration of Publication

I, Dominic Campodonico, as Senior Project Manager, Legal Notification Services at Gilardi & Co. LLC, a KCC Class Action Services Company in San Rafael, California, hereby certify that I caused the attached notice to be published as a press release by the following wire service:

Name of Publication: PR Newswire  
Address: 303 Second Street, 9<sup>th</sup> Floor  
City, State, Zip San Francisco, CA 94107  
Phone #: 866-732-1382  
State of: California

The press release was distributed on February 10, 2017 to the following media circuits offered by the above-referenced wire service:

1. US1 National Newswire

I declare under penalty of perjury that the foregoing is true and correct. Executed on this 31st day of March 2017, at San Rafael, California.



\_\_\_\_\_  
Dominic Campodonico

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# Robbins Geller Rudman & Dowd LLP Announces Proposed Settlement of Revance Therapeutics, Inc. Securities Litigation

NEWS PROVIDED BY

**Robbins Geller Rudman & Dowd LLP →**

Feb 10, 2017, 11:30 ET

SAN JOSE, Calif., Feb. 10, 2017 /PRNewswire/ -- The following statement is being issued by Robbins Geller Rudman & Dowd LLP regarding the Revance Therapeutics, Inc. Securities Litigation:

SUPERIOR COURT OF THE STATE OF CALIFORNIA  
COUNTY OF SANTA CLARA

CITY OF WARREN POLICE AND FIRE	)	Case No. 1-15-CV-287794
RETIREMENT SYSTEM, Individually and on	)	<u>CLASS ACTION</u>
Behalf of All Others Similarly Situated,	)	Judge: Hon. Brian C. Walsh
	)	Dept: 1
Plaintiff,	)	Date Action Filed: 05/01/15
	)	
vs.	)	
	)	
REVANCE THERAPEUTICS, INC., et al.,	)	
	)	
Defendants.	)	
	)	

## **SUMMARY NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION**

**TO: ALL PERSONS OR ENTITIES ("PERSONS") THAT PURCHASED OR OTHERWISE ACQUIRED REVANCE THERAPEUTICS, INC. ("REVANCE" OR THE "COMPANY") COMMON STOCK DURING THE PERIOD BEGINNING ON JUNE 19, 2014 AND ENDING ON MAY 1, 2015, AND PURSUANT AND/OR TRACEABLE TO THE REGISTRATION STATEMENT AND PROSPECTUS FOR THE COMPANY'S JUNE 19, 2014 PUBLIC OFFERING (THE "CLASS")**

**THIS NOTICE WAS AUTHORIZED BY THE COURT. IT IS NOT A LAWYER SOLICITATION. PLEASE READ THIS NOTICE CAREFULLY AND IN ITS ENTIRETY.**

YOU ARE HEREBY NOTIFIED that a hearing will be held on May 19, 2017, at 9:00 a.m., before the Honorable Brian C. Walsh at the Superior Court of California, County of Santa Clara, 191 North First Street, San Jose, CA 95113, to determine whether: (1) the proposed Settlement as set forth in the Stipulation of Settlement dated October 31, 2016 ("Stipulation") of the above-captioned action ("Litigation") for \$6,400,000 in cash should be approved by the Court as fair, reasonable, and adequate; (2) the Plan of Allocation should be approved by the Court as fair, reasonable, and adequate; and (3) to award Plaintiff's Counsel attorneys' fees and expenses out of the Settlement Fund (as defined in the Notice of Proposed Settlement of Class Action ("Notice"), which is discussed below).

This Litigation is a securities class action brought on behalf of those Persons who purchased or otherwise acquired the common stock of Revance pursuant and/or traceable to the Registration Statement and Prospectus ("Registration Statement") issued in connection with Revance's June 19, 2014 public offering ("Offering") during the period beginning on June 19, 2014 and ending on May 1, 2015, against Revance and certain of its executives and the Underwriters of the Offering for allegedly misstating and omitting material facts from the Registration Statement filed with the U.S. Securities and Exchange Commission ("SEC") in connection with the Offering. Defendants deny all of Plaintiff's allegations.

IF YOU PURCHASED OR OTHERWISE ACQUIRED REVANCE COMMON STOCK DURING THE PERIOD BEGINNING ON JUNE 19, 2014 AND ENDING ON MAY 1, 2015, AND PURSUANT AND/OR TRACEABLE TO THE REGISTRATION STATEMENT FILED WITH THE SEC IN CONNECTION WITH THE COMPANY'S JUNE 19, 2014 OFFERING, YOUR RIGHTS MAY BE AFFECTED BY THE SETTLEMENT OF THIS LITIGATION.

To share in the distribution of the Net Settlement Fund, you must establish your rights by submitting a Proof of Claim and Release ("Proof of Claim") by mail (postmarked no later than June 5, 2017) or submitted electronically no later than June 5, 2017. Your failure to submit your Proof of Claim by June 5, 2017, will subject your claim to possible rejection and may preclude you from receiving any of the recovery in connection with the Settlement of this Litigation. If you are a Member of the Class and do not request exclusion, you will be bound by the Settlement and any judgment and release entered in the Litigation, including, but not limited to, the Judgment, whether or not you submit a Proof of Claim. Plaintiff's Counsel represent you and other Members of the Class. If you want to be represented by your own lawyer, you may hire one at your own expense.

If you have not received a copy of the Notice, which more completely describes the Settlement and your rights thereunder (including your right to object to the Settlement or exclude yourself from the Class), and a Proof of Claim, you may obtain these documents, as well as a copy of the Stipulation (which, among other things, contains definitions for the defined terms used in this Summary Notice) and other Settlement documents, online at [www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com), or by writing to:

*Revance Securities Litigation*

Claims Administrator

c/o Gilardi & Co. LLC

P.O. Box 30249

College Station, TX 77842-3249

Phone: 1-888-279-2322

[www.revancesecuritiessettlement.com](http://www.revancesecuritiessettlement.com)

Inquiries may also be made to a representative of Lead Counsel:

ROBBINS GELLER RUDMAN  
& DOWD LLP  
Shareholder Relations  
Rick Nelson  
655 West Broadway, Suite 1900  
San Diego, CA 92101  
Phone: 1-800-449-4900

Inquiries should NOT be directed to Defendants, the Court, or the Clerk of the Court.

IF YOU DESIRE TO BE EXCLUDED FROM THE CLASS, YOU MUST SUBMIT A REQUEST FOR EXCLUSION SUCH THAT IT IS POSTMARKED NO LATER THAN APRIL 19, 2017, IN THE MANNER AND FORM EXPLAINED IN THE NOTICE. ALL MEMBERS OF THE CLASS WHO HAVE NOT REQUESTED EXCLUSION FROM THE CLASS WILL BE BOUND BY THE SETTLEMENT ENTERED IN THE LITIGATION EVEN IF THEY DO NOT FILE A TIMELY PROOF OF CLAIM.

IF YOU ARE A CLASS MEMBER, YOU HAVE THE RIGHT TO OBJECT TO THE SETTLEMENT, THE PLAN OF ALLOCATION, AND/OR THE REQUEST BY PLAINTIFF'S COUNSEL FOR AN AWARD OF ATTORNEYS' FEES AND EXPENSES. ANY WRITTEN OBJECTIONS MAY BE FILED WITH THE COURT AND SENT TO LEAD COUNSEL PRIOR TO THE SETTLEMENT FAIRNESS HEARING. HOWEVER, IT IS NOT NECESSARY TO FILE A WRITTEN OBJECTION IN ORDER TO APPEAR AT THE HEARING TO PRESENT YOUR OBJECTION TO THE COURT.

DATED: January 6, 2017 BY ORDER OF THE SUPERIOR COURT OF  
CALIFORNIA, COUNTY OF SANTA CLARA  
HONORABLE BRIAN C. WALSH

SOURCE Robbins Geller Rudman & Dowd LLP

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DECLARATION OF SERVICE BY MAIL

I, the undersigned, declare:

1. That declarant is and was, at all times herein mentioned, a citizen of the United States and a resident of the County of San Diego, over the age of 18 years, and not a party to or interested party in the within action; that declarant’s business address is 655 West Broadway, Suite 1900, San Diego, California 92101.

2. That on April 5, 2017, declarant served **DECLARATION OF FRED RODRIGUEZ REGARDING (A) MAILING OF THE NOTICE OF PROPOSED SETTLEMENT OF CLASS ACTION AND THE PROOF OF CLAIM AND RELEASE FORM, (B) PUBLICATION OF THE SUMMARY NOTICE, (C) INTERNET POSTING, AND (D) REQUESTS FOR EXCLUSION RECEIVED TO DATE** by depositing a true copy thereof in a United States mailbox at San Diego, California in a sealed envelope with postage thereon fully prepaid and addressed to the parties listed on the attached Service List.

3. That there is a regular communication by mail between the place of mailing and the places so addressed.

I declare under penalty of perjury that the foregoing is true and correct. Executed on April 5, 2017, at San Diego, California.

  
\_\_\_\_\_  
JACLYN STARK



REVANCE

Service List - 4/5/2017 (15-0056)

Page 1 of 1

**Counsel for Defendant(s)**

Linda C. Goldstein  
Andrew A. Spievack  
Dechert LLP  
1095 Avenue of the Americas  
New York, NY 10036-6797  
212/698-3500  
212/698-3599 (Fax)

Joseph A. Fazioli  
Dechert LLP  
2440 W. El Camino Real, Suite 700  
Mountain View, CA 94040-1499  
650/813-4800  
650/813-4848 (Fax)

Joshua D.N. Hess  
Dechert LLP  
One Bush Street, Suite 1600  
San Francisco, CA 94104  
415/262-4500  
415/262-4555 (Fax)

Stuart L. Gasner  
Michael D. Celio  
Laurie Carr Mims  
Keker & Van Nest LLP  
633 Battery Street  
San Francisco, CA 94111  
415/391-5400  
415/397-7188 (Fax)

**Counsel for Plaintiff(s)**

Samuel H. Rudman  
Robbins Geller Rudman & Dowd LLP  
58 South Service Road, Suite 200  
Melville, NY 11747  
631/367-7100  
631/367-1173 (Fax)

James J. Jaconette  
Robbins Geller Rudman & Dowd LLP  
655 West Broadway, Suite 1900  
San Diego, CA 92101  
619/231-1058  
619/231-7423 (Fax)

Shawn A. Williams  
Robbins Geller Rudman & Dowd LLP  
Post Montgomery Center  
One Montgomery Street, Suite 1800  
San Francisco, CA 94104  
415/288-4545  
415/288-4534 (Fax)

Thomas C. Michaud  
VanOverbeke Michaud & Timmony, P.C.  
79 Alfred Street  
Detroit, MI 48201  
313/578-1200  
313/578-1201 (Fax)